

**10/589899**

**IAP14 Rec'd PCT/PTO 18 AUG 2006**

Patent  
Docket No. 246472010200

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Patent Application of:  
Helmut D. LINK et al.

Serial No.: PCT/EP2005/001956

Filing Date: Concurrently Herewith

For: CERVICAL INTERVERTEBRAL DISC  
PROSTHESIS COMPRISING AN ANTI-  
DISLOCATION DEVICE AND  
INSTRUMENTS

Examiner: Not Yet Assigned

Group Art Unit: Not Yet Assigned

**INFORMATION DISCLOSURE  
STATEMENT UNDER 37 C.F.R. § 1.97 & 1.98**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

Dear Sir:

Pursuant to 37 C.F.R. § 1.97 and § 1.98, Applicants submit for consideration in the above-identified application the documents listed on the attached Form PTO/SB/08a/b. Copies of the foreign documents are also submitted herewith. The Examiner is requested to make these documents of record.

This Information Disclosure Statement is submitted with the application; accordingly, no fee or separate requirements are required.

Applicants would appreciate the Examiner initialing and returning the Form PTO/SB/08a/b, indicating that the information has been considered and made of record herein.

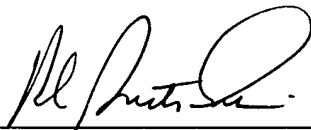
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The information contained in this Information Disclosure Statement under 37 C.F.R. § 1.97 and § 1.98 is not to be construed as a representation that: (i) a complete search has been made; (ii) additional information material to the examination of this application does not exist; (iii) the information, protocols, results and the like reported by third parties are accurate or enabling; or (iv) the above information constitutes prior art to the subject invention.

In the unlikely event that the transmittal form is separated from this document and the Patent and Trademark Office determines that an extension and/or other relief (such as payment of a fee under 37 C.F.R. § 1.17 (p)) is required, Applicants petition for any required relief including extensions of time and authorize the Commissioner to charge the cost of such petition and/or other fees due in connection with the filing of this document to **Deposit Account No. 03-1952** referencing 246472010200.

Dated: August 18, 2006

Respectfully submitted,

By 

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Substitute for form 1449/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  <i>(Use as many sheets as necessary)</i>				<b>Complete if Known</b>	
				Application Number	PCT/EP2005/001956
				Filing Date	Concurrently Herewith
				First Named Inventor	Helmut D. LINK
				Art Unit	Not Yet Assigned
				Examiner Name	Not Yet Assigned
				Attorney Docket Number	246472010200
Sheet	1	of	1		

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number Number-Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	1.	5,062,845	11-05-1991	Stephen KUSLICH et al.	
	2.	2001/0016773-A1	08-23-2001	Hassan SERHAN et al.	
	3.	6,517,580-B1	02-11-2003	Aymen RAMADAN et al.	
	4.	2003/0149484-A1	08-07-2003	Gary K. MICHELSON	

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
	5.	WO-01/60268-A1	08-23-2001	SPINEOLOGY GROUP, LLC		
	6.	DE-101-30-825-A1	03-07-2002	STEFFEN HELGE	Translation of Abstract	
	7.	EP-1-283-026-A2	02-12-2003	DePUY ACROMED, INC.		
	8.	DE-203-11-400-U1	11-06-2003	AESULAP AG & CO KG	Abstract of Corresponding US Publication No. 2005/060036	
	9.	WO-03/075803-A1	09-18-2003	WALDEMAR LINK (GMBH & CO.)	Translation of Abstract	
	10.	WO-03/075804-A1	09-18-2003	WALDEMAR LINK (GMBH & CO.)	Translation of Abstract	
	11.	WO-03/084449-A1	10-16-2003	COOL BRACE		
	12.	WO-2004/080316-A1	09-23-2004	SDGI HOLDINGS, INC.		

\*EXAMINER: Initial if information considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>

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<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

Examiner Signature	Date Considered
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